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John R. Sadullo (Contact Person)																					(632) 8888-3000/3055 (Company Telephone Number)											
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#### SECURITIES AND EXCHANGE COMMISSION

### SEC FORM 17-C **CURRENT REPORT UNDER SECTION 17** OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1. October 28, 2021 Date of Report

2. SEC Identification No.: 91447 3. BIR Tax Identification No.: 000-190-324-000

#### 4. SEMIRARA MINING AND POWER CORPORATION

Exact name of issuer as specified in its charter

5. **Philippines** 

Province, country or other jurisdiction of incorporation

(SEC Use Only) Industry Classification Code:

7. 2/F, DMCI Plaza, 2281 Chino Roces Avenue, Makati City

Address of principal office

1231 Postal Code

8. (632) 888-3000/3055 Fax No. (632) 888-3955

Issuer's telephone number, including area code

9. **N.A.** 

Former name or former address, if changed since last report

10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA Number of Shares of Common Stock

Title of Each Class

(Outstanding)

**Common Shares** 4,250,547,620

11. Indicate the item numbers reported herein: **Item No. 9**.

Southwest Luzon Power Corporation (SLPGC), a subsidiary of Semirara Mining and Power Corporation (SMPC), received today, October 28, 2021 the Energy Regulatory Commission (ERC) Decision imposing a penalty of P135,400 for allegedly exceeding the number of allowed unplanned (forced) outages of 16.9 days, as stipulated under ERC Resolution No. 10, Series of 2020 ("Resolution Adopting the Interim Reliability Performance Indices and Equivalent Outage Days Per Year of Generating Units").

SLPGC Unit 2 had 17.44 days of unplanned outages from January 3 to 15, 2021 and April 21 to 25, 2021. The company intends to appeal this Decision by filing a Motion for Reconsideration with the ERC.

## **SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

Semirara Mining and Power Corporation Issuer

JOHN R. SADULLO Signature and Title

VP-Legal & Corporate Secretary

Date October 28, 2021